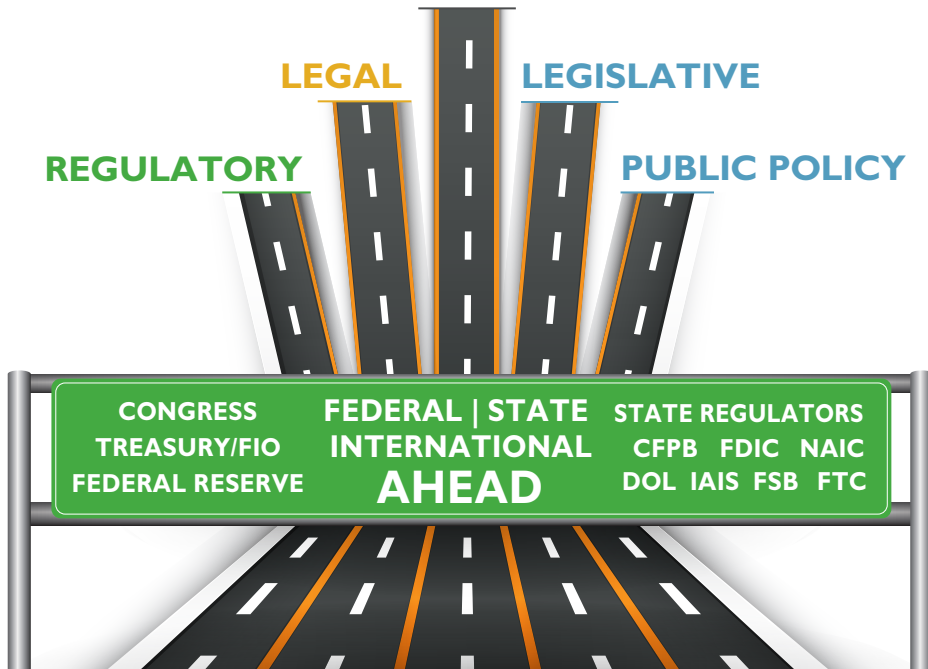


# INSURANCE REGULATORY AND PUBLIC POLICY SERVICES ▶ ▶ ▶

The insurance industry is experiencing change from multiple directions with the stakes and complexity growing. FaegreBD professionals provide seamless and integrated regulatory, legal, legislative and public policy counsel – across federal, state and international jurisdictions – to help you navigate the changing landscape, seize opportunities and mitigate threats.

## INTEGRATED APPROACH



## LEGISLATIVE & PUBLIC POLICY



- ▶ 30+ years supporting public policy goals of financial services clients on/off Capitol Hill
- ▶ Knowledge of industry, laws and politics dictating insurance issues
- ▶ Broad, bipartisan experience, including in-house federal affairs, on the Hill and in the executive branch
- ▶ Advocacy development, crisis management and issue communication experience
- ▶ Trusted working relationships with key financial services committees and staff
- ▶ Grass roots and coalition building, including executing on strategies

## REGULATORY AFFAIRS



- ▶ Work before—and with—federal agencies and state counterparts
- ▶ International standard setting – strategy and advocacy
- ▶ Regulatory response, strategy and advocacy
- ▶ Practical experience as former:
  - Insurance regulators
  - CMS regulatory leadership
  - In-house regulatory and legal counsel

## LEGAL



- ▶ Legal advocates on regulatory matters
- ▶ Full service insurance legal team
  - Administrative agency advocacy
  - Transactions
  - Litigation
- ▶ Expertise in Dodd-Frank and the Affordable Care Act

## INSURANCE REGULATORY & PUBLIC POLICY ▶ ▶ ▶

# REPRESENTATIVE EXPERIENCE

OUR PROFESSIONALS HAVE SIGNIFICANT CAREER EXPERIENCE WITH FEDERAL AND STATE CHALLENGES. WE ARE:

### LOBBYISTS

**ENGAGE** ▶ in direct lobbying with Congress, federal regulatory agencies and international standard-setting bodies, and in trade and industry association alignment for a leading retirement services company, a leading specialty insurer, annuity writers and property and casualty insurers.

**ADVOCATE** ▶ on behalf of a professional surplus lines and wholesale insurance distribution trade association regarding NARAB, NFIP, TRIA, NRRRA and private flood insurance legislation, including the opportunity to testify before the House Financial Services Committee.

**PRESERVED** ▶ state resolution authority during the drafting of Dodd-Frank and guided federal agency implementation of Dodd-Frank consistent with the goals of three insurance industry clients.

### REGULATORY COUNSEL AND AGENCY ADVISORS

**REPRESENT** ▶ insurance industry clients before Treasury, the Federal Reserve and other agencies.

**ADVISE** ▶ a global financial services company on federal and state regulatory challenges and counsel on Dodd-Frank implementation, the Holding Company Act and emerging state issues.

**ADVISED** ▶ a large bank holding company on Dodd-Frank resolution planning and a strategy to present to federal regulators.

**REPRESENTED** ▶ a professional trade association's interest on the federal agency level in the TRIA rulemaking process, helping to shape TRIA data calls.

### PUBLIC POLICY STRATEGISTS

**REPRESENT** ▶ the U.S. insurance guaranty system on financial regulatory, resolution and related public policy matters before Congress and international agencies, at the NAIC and before state insurance departments.

**SERVE** ▶ as government relations representative of a large domestic life insurer, responsible for developing and implementing federal government relations strategy on key issues including tax reform, the Dodd-Frank Act, Federal Reserve capital standards for insurers and Department of Labor fiduciary duty rulemaking.

**ADVISED** ▶ a large property and casualty insurer on international advocacy strategy related to international insurance capital standards and federal and international regulatory developments.

## THOUGHT LEADERSHIP ON INSURANCE POLICY

- ▶ Our professionals have served: in Congress; as legislative directors or staff members of current U.S. senators; as counsel to the U.S. Committee on Intelligence; as a staff member of the House Financial Services Committee; as assistant director of the CFPB; as senior leader at CMS; and as a state insurance regulator.
- ▶ Our professionals lead and participate in The Exchequer Club of Washington, an organization of financial services policy professionals.
- ▶ We present the annual NFI Insurance Public Policy Summit in D.C. with Networks Financial Institute/Indiana State University (in its 13th year).
- ▶ We present at national conferences, including ACLI, CEFLI, IAIS, NCIGF, NOLHGA and PCI.

## CONTACT



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